

SUBMISSION UNDER WIPEP 609 D

Page 1 of 1

| Application Number | 09/850,293 |
|------------------------|-----------------|
| Filing Date | May 7, 2001 |
| First Named Inventor | Robert Falotico |
| Group Art Unit | 3761 |
| Examiner Name | |
| Attorney Docket Number | CRD-0931 |

U.S. PATENT DOCUMENTS

| | | U.S. PA | TENT DOCUMENTS | | | |
|----------------------|--------------------------|--|----------------------|--|---|--|
| | | | U.S. Patent Document | | Daniel Octavia Line | |
| Examiner Initials | Cite No. ¹ | Name of Patentee or Applicant of Cited Document | Nina Code | | Pages, Columns, Lines, where relevant passages or relevant figures appear | |
| KF | | Kunz et al. | 5,981,568 | | | |
| | | | | | . 1 | |
| | | | | | EL T | |
| | | | | | TECHNOLOGY 1 & | |
| | | | | | 12 | |
| | | | | | ZENTET 2 | |
| | ļ | | | | CENTER RATIO | |
| | | | | | 3 | |
| | | | DATENT DOCUMENTS | | • | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite | Name of Patentee or Applicant of Cited Document | Foreign Pa | atent Documen Number ⁴ K | t indCode ⁵ | Pages, Columns, Lines, where relevant passages or relevant figures appear | T⁵ |
|-----------------------------|--------------------------|--|---------------------------------------|--|---------------------------|--|----|
| 4F | | American Home Products Corp. | EPO | 568 310 | A1 | | |
| KF | | Cordis Corporation | EPO | 950 386 | A2 | | |
| _ hF | | Bristol-Myers Squibb Company | wo | 98/13344 | A1 | | |
| KF | | Cook Incorporated | wo | 98/36784 | A1 | | |
| KF | | SCIMED Life Systems, Inc. | wo | 00/27445 | A1 | | |
| | | | | | | | |
| , | | | | | | | |
| | , | OTHER PRIOR ART - N | | | | | |
| Examiner 's Initials* | Cite No. ¹ | | | | | | |
| KF | | RUEF, JOHANNES MD, et al.; "Flavopiridol Inhibits Smooth Muscle Cell Proliferation In Vitro and Neointimal Formation In Vivo After Carotid Injury In The Rat"; From the Division of Cardiology and Sealy Center for Molecular Cardiology, University of Texas Medical Branch, Galveston; Accepted April 9, 1999; Circulation August 10, 1999; pp 659-665 | | | | | |
| | | | | | | | |
| | | | · · · · · · · · · · · · · · · · · · · | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| Examiner Signature | hathrun | Terco | Date Considered | 9/27 | 102 | |
|-----------------------|---------|-------|--------------------|------|-----|--|
| | -1 | | | | | |

PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 1

| a conection of unformation unless it displays a | VAIIG CIVID CONTROL HUMBEL. |
|---|-----------------------------|
| Application Number | °09/850;293 |
| Filing Date | May 7, 2001 |
| First Named Inventor D | cRobert Falotico ∼ |
| Group Art Unit IECU. | 3761 |
| Examiner Name "'//VO/ | 0 200 |
| Attorney Docket Number C | /CRD-0931// |
| | 1 -2 /1/ >- |

| | T | U.S. Patent Docu | ment | U.S. PATENT DOCUMENTS | | 17 Rox |
|----------------------|--------------|------------------|-----------------------------------|---|--|---|
| Examiner Initials | Cite No.1 | Number | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
| KE | | 6,299,604 | B1 | Ragheb et al. | 10-09-2001 | . : |
| ήF | | 6,306,166 | B1 | Barry et al. | 10-23-2001 | |
| KF | | 6,306,176 | B1 | Whitbourne | 10-23-2001 | |
| KF | | 6,309,380 | B1 | Larson et al. | 10-30-2001 | |
| KF | | 6,309,660 | B1 | Hsu et al. | 10-30-2001 | |
| KF | | 20010029660 | A1 | Johnson, Michael W. | 10-18-2001 | |
| KF | | 20010032014 | A1 | Yang, Dachuan et al. | 10-18-2001 | |
| KF | | 20010034363 | A1 | Li, Chun et al. | 10-25-2001 | |
| KF | | 20010037145 | A1 | Guruwaiya, Judy A. et al. | 11-01-2001 | |
| | | | | | | |
| | | - | _ | | | |
| | | | | • | | |

FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or passages or relevant Examiner mm-dd-yyyy Applicant of Cited Document figures appear KindCode⁵ No.1 Office³ Number⁴

| | | <u> </u> | |
|-----------|---------------|---------------------|--|
| Examiner | Kaylonia Tela | Date 0127102 | |
| Signature | nathryn retro | Considered 9/2/18/2 | |
| | | | |

^{*}EXAMINER: Initial if reference onsidered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. s Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08A (08-00)
Approved for use through 10/31/2002, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 8

| a collection of information unless it displays a | a collection of information unless it displays a valid OMB control number. | | | | | |
|--|--|--|--|--|--|--|
| Application Number | 09/850,293 | | | | | |
| Filing Date | May 7, 2001 | | | | | |
| First Named Inventor | Falotico, Robert | | | | | |
| Group Art Unit | 3761 | | | | | |
| Examiner Name | Not yet assigned | | | | | |
| Attorney Docket Number | CRD-931 | | | | | |

| | | | | U.S. PATENT DOCUMENTS | | |
|----------------------|--------------------------|-------------------|-----------------------------------|---|--|---|
| Examiner Initials | Cite No. ¹ | U.S. Patent Docum | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
| KF | | 4,739,762 | | Palmaz, J.C. | 04/26/88 | |
| KF | | 4,776,337 | | Palmaz, J.C. | 10/11/88 | |
| KF | | 5,041,100 | | Rowland, S.M. et al. | 8/20/91 | |
| KF | i | 5,049,132 | | Shaffer, M.A. et al. | 9/17/91 | D _n |
| KF | | 5,061,750 | | Feijen, J. et al. | 10/29/91 | CC. |
| KF | | 5,134,192 | | Feijen, J. et al. | 7/28/92 | JUI |
| KF | | 5,213,576 | | Abiuso, C.L. et al. | 5/25/93 TEC | HNOLOGY CENTER ROTOG |
| KF | | 5,306,250 | | March, K.L. et al. | 4/26/94 | WOLOGY CO |
| KF | | 5,308,862 | | Ohlstein, E.H. | 5/3/94 | ENTERPO |
| KF | | 5,328,471 | | Slepian, M.J. | 7/12/94 | 00,00 |
| KF | | 5,336,518 | | Pallassana, V.N. et al. | 8/9/94 | |
| | | | | | | |

| | | | | | GN PATENT DOCUMENTS | | | |
|----------------------|--------------------------|---------------------|---------------------|-----------------------|-----------------------------|---------------------------------------|--|----|
| | | Foreign | Patent Doci | ument | Name of Patentee or | Date of Publication of Cited Document | Pages, Columns, Lines, where relevant | |
| Examiner Initials | Cite No. ¹ | Office ³ | Number ⁴ | KindCode ⁶ | Applicant of Cited Document | mm-dd-yyyy | passages or relevant figures appear | T⁵ |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

| Examiner | Vanilla Vani | Pote Alaman |
|--------------|---|-----------------------|
| | i V i | 1 91 / 111 / |
| Signature | | Considered 1/4/1/U/ |
| ************ | | |

*EXAMINER: Initial if deference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

ction Act of 1995, no persons are required to respond to

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 2 of 8

| a collection of information unless it displays a | |
|--|------------------|
| Application Number | 09/850,293 |
| Filing Date | May 7, 2001 |
| First Named Inventor | Falotico, Robert |
| Group Art Unit | 3761 |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | CRD-931 |

| | | | ****** | U.S. PATENT DOCUMENTS | | |
|----------------------|--|-------------------|-----------------------------------|---|--|---|
| Examiner Initials | Cite | U.S. Patent Docum | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
| KF | | 5,342,621 | | Eury, R.P. | 8/30/94 | |
| KE | | 5,368,566 | | Crocker, M. | 11/29/94 | |
| KF | | 5,393,772 | | Yue, T.L. et al. | 2/28/95 | N . |
| KF | | 5,409,696 | | Narayanan, P.V. et al. | 4/25/95 | JECK |
| KF | | 5,429,634 | | Narcisco, H.L. | 7/4/95 | 14 3 , VA |
| H F | ļ | 5,439,446 | | Barry, J. | 8/8/95 TE | Chu 0/ 3/2 |
| hF. | 1 | 5,441,947 | | Dodge, J.A. et al. | 8/15/95 | ""VOLOGY <002 |
| hF. | | 5,443,458 | | Eury, R.P. | 8/22/95 | CENTED |
| KF \ | | 5,486,357 | | Narayanan, P.V. | 1/23/96 | CHNOLOGY 2002 CENTER R370 |
| hF. | | 5,523,092 | | Hanson, S.R. et al. | 6/4/96 | |
| KF | | 5,551,954 | | Buscemi, P.J. et al. | 9/3/96 | |
| K C | | 5,556,413 | | Lam, S. | 9/17/96 | |
| + | | <u> </u> | FOR | FIGN PATENT DOCUMENTS | | |

| | Cite | Foreign Patent Document | | rment | Name of Patentee or | Date of Publication of Cited Document | Pages, Columns, Lines, where relevant | |
|----------------------|---------|-------------------------|---------------------|-----------------------|-----------------------------|--|--|--|
| Examiner Initials | | Office ³ | Number ⁴ | KindCode ⁵ | Applicant of Cited Document | mm-dd-yyyy | passages or relevant figures appear | T ⁶ |
| | ļ | | | | | | | - |
| | ļ | | | | | | | - |
| | | | | | | | | |
| | · · · - | | | | | | | |
| | | | | | | | | <u> </u> |
| | | | | | | | | |

| Examiner Date 0177/12 | | |
|------------------------------|-----------|-----|
| Signature Considered 1/2/102 | Signature | 1 = |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of his form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.



Approved for use through 10/31/2002. OMB 0551-0031
U.S. Petent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 3 of 8

| a collection of information unless it displays a | Valu OMB Control number. |
|--|--------------------------|
| Application Number | 09/850,293 |
| Filing Date | May 7, 2001 |
| First Named Inventor | Falotico, Robert |
| Group Art Unit | 3761 |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | CRD-931 |

| U.S. PATENT DOCUMENTS | | | | | | | | |
|-----------------------|----------|-------------------|-----------------------------------|---|--|---|--|--|
| Examiner Initials | Cite | U.S. Patent Docum | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear | | |
| KF | | 5,569,197 | | Helmus, M.N. et al. | 10/29/96 | 6 | | |
| KC | | 5,574,059 | | Regunathan, S. et al. | 11/12/96 | MECE! | | |
| KC | | 5,580,873 | | Bianco, J.A. et al. | 12/3/96 | "" LIVED | | |
| KF | | 5,580,874 | | Bianco, J.A. et al. | 12/3/96 | 3 1 2002 | | |
| KF | | 5,591,140 | | Narayanan, P.V. et al. | 1/7/97 'ECHN(| LOGY CENTER R3700 | | |
| KE | | 5,620,984 | | Bianco, J.A. et al. | 4/15/97 | CENTER RAZA | | |
| KF | | 5,621,102 | | Bianco, J.A. et al. | 4/15/97 | 3700 | | |
| KF | | 5,622,975 | | Singh, J.P. et al. | 4/22/97 | | | |
| KF | | 5,629,315 | | Bianco, J.A. et al. | 5/13/97 | , | | |
| KF | | 5,643,939 | | Ohlstein, E.H. | 7/1/97 | | | |
| KF | <u> </u> | 5,648,357 | | Bianco, J.A. et al. | 7/15/97 | | | |
| KF | | 5,649,977 | | Campbell, P.K. | 7/22/97 | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|----------------------|--------------------------|---------------------|---------------------|-----------------------|-----------------------------|--|--|----------------|--|
| | | Foreign | Patent Docu | iment | Name of Patentee or | Date of Publication of Cited Document | Pages, Columns, Lines, where relevant | | |
| Examiner Initials | Cite No. ¹ | Office ³ | Number ⁴ | KindCode ⁵ | Applicant of Cited Document | mm-dd-yyyy | passages or relevant figures appear | T ⁶ | |
| | | | | | | | · | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | • | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

| Examiner hathour KND | Date 0/27/02 |
|---|------------------|
| Signature 1000000000000000000000000000000000000 | Considered 12102 |
| | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents, 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. e Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.



Approved for use through 10/31/2002. OMB 0551-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Act of 1995, no persons are required to respond to

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 4 of 8

| Vald Civib control number. |
|----------------------------|
| 09/850,293 |
| May 7, 2001 |
| Falotico, Robert |
| 3761 |
| Not yet assigned |
| CRD-931 |
| |

| - | | | | U.S. PATENT DOCUMENTS | | |
|----------------------|--------------|-------------------|-----------------------------------|---|--|---|
| Examiner Initials | Cite No.1 | U.S. Patent Docum | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
| KE | | 5,651,174 | | Schwartz, R.S. et al. | 7/29/97 | |
| KF | | 5,652,243 | | Bianco, J.A. et al. | 7/29/97 | PR |
| KF | | 5,653,992 | | Bezwada, R.S. et al. | 8/5/97 | CE |
| W.E | | 5,662,609 | | Slepian, M.J. | 9/2/97 _{EC} | 13 VED |
| K E | | 5,665,728 | | Morris, R.E. et al. | 9/9/97 "7/VOLO | Y CENTER R3700 |
| KF | | 5,670,506 | | Leigh, A. et al. | 9/23/97 | CEN |
| H F | | 5,709,874 | | Hanson, S.R. et al. | 1/20/98 | EARO |
| KF | | 5,725,549 | | Lam, S. | 3/10/98 | 00 |
| KF | | 5,731,326 | | Hart, C.E. et al. | 3/24/98 | |
| KF | | 5,733,920 | | Mansuri, M.M. et al. | 3/31/98 | |
| KF | | 5,733,925 | | Kunz, L.L. et al. | 3/31/98 | |
| KC | | 5,739,138 | | Bianco, J.A. et al. | 4/14/98 | |

| | Cite | Foreign Patent Document | | | Name of Patentee or | Date of Publication of Cited Document | Pages, Columns, Lines, where relevant | İ |
|----------------------|--|-------------------------|---------------------|-----------------------|-----------------------------|--|--|----------------|
| Examiner Initials | | Office ³ | Number ⁴ | KindCode ⁵ | Applicant of Cited Document | mm-dd-yyyy | passages or relevant figures appear | T ⁶ |
| | | | | | | | | |
| | | | | | | | | |
| | <u> </u> | | | | | | | - |
| | | | | | | | | |
| | | | | | | | | l |

| Examiner Signature | hathrun RARO | Date Considered | 9/27/02 | |
|-----------------------|-----------------|--------------------|--|--|
| Signature | 1 4 11141 10162 | | n n 1 1 2010 16 14 10 1 10 10 10 10 10 10 10 10 10 10 10 1 | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

TRADEN

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

of Act of 1995, no persons are required to respond to Under the Paperwork Rec

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 5 of 8

| Application Number | 09/850,293 | |
|------------------------|------------------|----------|
| | | \dashv |
| Filing Date | May 7, 2001 | - |
| First Named Inventor | Falotico, Robert | |
| Group Art Unit | 3761 | |
| Examiner Name | Not yet assigned | |
| Attorney Docket Number | CRD-931 | |

| U.S. PATENT DOCUMENTS | | | | | | | | |
|-----------------------|--------------|-------------------|-----------------------------------|---|--|---|--|--|
| Examiner Initials | Cite No.1 | U.S. Patent Docum | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear | | |
| KF | | 5,769,883 | | Buscemi, P.J. et al. | 6/23/98 | | | |
| XE | | 5,776,184 | | Tuch, R.J. | 7/7/98 | MEO | | |
| KE | | 5,780,476 | | Underiner, G.E. et al. | 7/14/98 | Ella | | |
| KE | | 5,782,908 | | Cahalan, L.L. et al. | 7/21/98 TEC | 3, 5/ | | |
| KF | | 5,788,979 | | Alt, E. | 8/4/98 | VOLOGY CENTER R3700 | | |
| KF | | 5,792,772 | | Bianco, J.A. et al. | 8/11/98 | CENTA | | |
| KE | | 5,798,372 | | Davies, P.A. et al. | 8/25/98 | P3700 | | |
| W.E | | 5,807,861 | | Klein, J.P. et al. | 9/15/98 | 90 | | |
| KF | | 5,811,447 | | Kunz, L.L. et al. | 9/22/98 | | | |
| KF | | 5,858,990 | | Walsh, K. | 1/12/99 | | | |
| K F | | 5,873,904 | | Ragheb, A.O. et al. | 2/23/99 | | | |
| KF | | 5,876,433 | | Lunn, A.C. | 3/2/99 | | | |

| | Foreign Pate | | Foreign Patent Document | | Name of Patentee or | Date of Publication of Cited Document | Pages, Columns, Lines, where relevant | |
|----------------------|--------------------------|---------------------|-------------------------|-----------------------|-----------------------------|--|--|----------------|
| Examiner Initials | Cite No. ¹ | Office ³ | Number ⁴ | KindCode ⁵ | Applicant of Cited Document | mm-dd-yyyy | passages or relevant figures appear | T ⁶ |
| | <u> </u> | | | | | | | - |
| | - | | | | | | | |
| | | | | | | | | _ |
| | | | | | | | | ╁ |
| | + | | | | | | | + |

| | | | and the same of th | | | |
|-----------|----------|--------|--|----------|--------|--|
| Examiner | 16016010 | 10:11- | Date | \cap | 127/12 | |
| Signature | namun | KIO | Considered | <u> </u> | 141104 | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 8 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark



PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 6 of 8

| Application Number | 09/850,293 |
|------------------------|------------------|
| Filing Date | May 7, 2001 |
| First Named Inventor | Falotico, Robert |
| Group Art Unit | 3761 |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | CRD-931 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|--|-------------------|-----------------------------------|---|--|---|--|
| Examiner Initials | Cite | U.S. Patent Docum | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear | |
| KF | | 5,891,108 | | Leone, J.E. et al. | 4/6/99 | | |
| KF | | 5,900,246 | | Lambert, T.L. | 5/4/99 | | |
| KE | | 5,902,266 | | Leone, J.E. et al. | 5/11/99 | BR | |
| KE | | 5,951,586 | | Berg, E.P. et al. | 9/14/99 | CE | |
| KF. | | 5,957,971 | | Schwartz, R.S. | 9/28/99 | JUL 3 VE | |
| KF | | 5,972,027 | | Johnson, M.W. | 10/26/99 | NOIOGY CENTER ROTOO | |
| KF | | 5,976,534 | | Hart, C.E. et al. | 11/2/99 | CEAL | |
| KF | | 5,977,163 | | Li, C. et al. | 11/2/99 | W/ER RO | |
| KF | | 5,980,553 | | Gray, L.B. et al. | 11/9/99 | 00 | |
| ΚF | | 5,980,566 | | Alt, E. et al. | 11/9/99 | | |
| VF | 1 | 5,980,972 | | Ding N. | 11/9/99 | | |
| VF | | 5,981,568 | | Kunz, L.L. et al. | 11/9/99 | | |
| | ــــــــــــــــــــــــــــــــــــــ | <u> </u> | FOR | FIGN PATENT DOCUMENTS | | | |

| | Foreign Patent Document | | iment | Name of Patentee or | Date of Publication of Cited Document | Pages, Columns, Lines, where relevant | | |
|----------------------|--------------------------|---------------------|---------------------|-----------------------|--|---------------------------------------|--|----------------|
| Examiner Initials | Cite No. ¹ | Office ³ | Number ⁴ | KindCode ⁵ | Applicant of Cited Document | mm-dd-yyyy | passages or relevant figures appear | T ⁶ |
| | | | | | | | | - |
| | <u> </u> | | | | | | | |
| | | | - | | | | | |
| | | | | | | | | <u> </u> |
| | ļ <u> </u> | | | | | | | - |

| Examiner Signature | hathrun Rillio | Date Considered 92702 | · |
|-----------------------|----------------|--------------------------|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through ditation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark

Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE RADEMANN rk Reduction Act of 1995, no persons are required to respond to

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 7 of 8

| a collection of information unless it displays i | |
|--|------------------|
| Application Number | 09/850,293 |
| Filing Date | May 7, 2001 |
| First Named Inventor | Falotico, Robert |
| Group Art Unit | 3761 |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | CRD-931 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|--|-------------------|-----------------------------------|--|--|---|--|
| Examiner Initials | Cite | U.S. Patent Docum | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear | |
| KE | | 5,985,307 | • | Hanson, S.R. et al. | 11/16/99 | | |
| KE | | 5,997,468 | | Wolff, R.G. et al. | 12/7/99 | | |
| Y.E | 1 | 6,004,346 | | Wolff, R.G. et al. | 12/21/99 | | |
| X.C | | 6,039,721 | | Johnson, K. et al. | 3/21/00 | Rr_ | |
| KE | | 6,059,813 | | Vrba, A.C. et al. | 5/9/00 | CC. | |
| W.F | | 6,071,305 | | Brown, J.E. et al. | 6/6/00 | 11 , VED | |
| NC. | 1 | 6,074,659 | | Kunz, L.L. et al. | 6/13/09 ² / _{1/0/} | GY CENTER ROTOO | |
| KE | 1 | 6,080,190 | | Schwartz, R.S. | 6/27/00 | Gran Sol | |
| W.F | | 6,096,070 | | Ragheb, A.O. et al. | 8/1/00 | WIER RO | |
| X.C | | 6,136,798 | | Cody, W.L., et al. | 10/24/00 | .00 | |
| KF | 1 | 6,140,127 | | Sprague, E.A. | 10/31/00 | | |
| KF | | 6,146,358 | | Rowe, S.J. | 11/14/00 | | |

| | | Foreign | Patent Doci | ument | Name of Patentee or | Date of Publication Pages, Columns, L of Cited Document where relevant | | |
|----------------------|--------------|---------------------|---------------------|-----------------------|-----------------------------|--|--|--|
| Examiner Initials | Cite No.1 | Office ³ | Number ⁴ | KindCode ⁵ | Applicant of Cited Document | mm-dd-yyyy | passages or relevant figures appear | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | 1 | | | | | | |

| Examiner hathan Rule Considered 9/27/07 | | | | · · · · · · · · · · · · · · · · · · · | |
|---|-----------|-----------------|------------|---------------------------------------|--|
| | Examiner | Thatbala Pellin | | 0/27/02 | |
| Signature | Signature | namun rougo | Considered | 116-1101 | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Approved for use through 10/31/2002. OMB 0651-0031
U.S. Petent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 8 of 8

| a collection of information unless it displays t | a valid Civib Control Humber. |
|--|-------------------------------|
| Application Number | 09/850,293 |
| Filing Date | May 7, 2001 |
| First Named Inventor | Falotico, Robert |
| Group Art Unit | 3761 |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | CRD-931 |
| | |

| | | | | U.S. PATENT DOCUMENTS | | | |
|----------------------|----------|--|--|---|--|---|--|
| Examiner Initials | Cite | U.S. Patent Document Kind Code ² Number (if known) | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear | |
| KE | | 6,153,252 | | Hossainy, S.F. et al. | 11/28/00 | | |
| KE | | 6,171,232 | | Papandreou, G. et al. | 1/9/01 | | |
| KE | | 6,171,609 | | Kunz, L.L. | 1/9/01 | RECEIVE | |
| W.C | | 6,177,272 | | Nabel, G.J. et al. | 1/23/01 | CEIVE | |
| KE | | 6,240,616 | | Yan, J.Y. | 6/5/01 | 307 3 1 | |
| KF | | 6,254,632 | | Wu, S.Z. et al. | 7/3/01 TEC | HNOLOGY CENTER R370 | |
| KE | 1 | 6,268,390 | | Kunz, L.L. | 7/31/01 | CENTER DO | |
| W.C | | 6,273,913 | | Wright, C. et al. | 8/14/01 | 1137 | |
| KF | | 6,287,320 | | Slepian, M.J. | 9/11/01 | | |
| XF | | 6,287,628 | | Hossainy, S.F. et al. | 9/11/01 | | |
| KF | 1 | 6,306,421 | | Kunz, L.L. et al. | 10/23/01 | | |
| XE | | 6,313,264 | | Caggiano, T.J. et al. | 11/6/01 | | |

| Examiner Initials | Cite | Foreign Patent Document | | | Name of Patentee or | Date of Publication of Cited Document | Pages, Columns, Lines, where relevant | |
|---------------------------------------|------|-------------------------|---------------------|-----------------------|-----------------------------|---------------------------------------|--|------------|
| | | Office ³ | Number ⁴ | KindCode ⁶ | Applicant of Cited Document | mm-dd-yyyy | passages or relevant figures appear | ⊤ 6 |
| | | | | | | | | |
| | | | | | | | | |
| | | | | _ | | | | - |
| · · · · · · · · · · · · · · · · · · · | | | | | | | | |
| | 1 | | | | | | | 1 |

| Examiner Signature | hathrin | RIKO | Date Considered | 9, | 271 | 02 | |
|-----------------------|---------|------|--------------------|----|-----|----|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. s Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. e Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.